

## Audit Report and Action Items

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Audit Name	Audit Report #	Start date	Reply requested	Reply Due	Final Report

**Risk Assigned:**

Critical	Unacceptable risk of immediate loss due to control weakness	Complete corrective action as of due date	FILL
High	High risk of loss/ High monetary exposure / Repeat Item / Highest Regulatory Concern	Complete corrective action within 30 days of due da.	IN
Medium	Administration / Compliance issue/ Moderate risk of loss or monetary exposure	Complete corrective action within 60 days of due da.	DUE
Minor	Filing / minor policy changes / Minor documentation	Complete corrective action within 120 days of due d.	DATES

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Problem	Proposed Solution	Person Doing	Risk	Response/Status/ Results	Due Date	Date Cleared