

Assessment of Unauthorized Access To Sensitive Customer Information

Date of Incident	Date Bank was Notified	Date Regulator was Notified
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Type of Sensitive Customer Information* potentially compromised: _____

Information system(s) involved: _____

Service Provider involved? No Yes (*Name*) _____

Nature/Scope of Incident: _____

Results of Assessment

- Likely that Sensitive Customer Information has been or will be misused**
- Not likely that Sensitive Customer Information has been or will be misused

Customer(s) Affected (If specific customers can not be identified, all customers in affected group must be notified)

Action Taken

Steps taken to prevent further access or use of the information: _____

SAR Filed <input type="checkbox"/> Yes <input type="checkbox"/> No Date _____	Law Enforcement Notified <input type="checkbox"/> Yes <input type="checkbox"/> No Date _____	Consumer Reporting Agencies notified (<i>only if large numbers of customers will be sent notices</i>) <input type="checkbox"/> Yes <input type="checkbox"/> No Date _____
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Method of delivery of Notice to customer:

- Telephone Mail Electronic (*only if customer has previously agreed to receive communications electronically*)

Date Notice delivered _____

*Sensitive Customer Information: Customer's name, address, or telephone number, in conjunction with: 1) SSN; 2) Driver's License number; 3) Account number; 4) Credit or Debit card number; or, 5) PIN number, or; password or any combination of information allowing someone to log onto or access the customer's account, such as user name and password or password and account number.

**Attach copy of Customer Notice to this form

This form appears in the Banker Tools section of BankersOnline.com – www.bankersonline.com